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Predicting lymph node metastasis in gastric adenocarcinoma: Role of tumor budding and immunohistochemical expression of E-cadherin

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ABSTRACT

Introduction: Predicting the node status of stomach carcinoma is very useful, as it affects treatment decisions. The objective of this study was to use the immunohistochemical expression of E-cadherin and tumor budding to investigate the nodal status of gastric cancer surgical specimens as a pilot study before application to gastric cancer biopsy samples. Methods: Three hundred and eleven (311) gastric cancer surgical samples with lymph node dissection were retrospectively evaluated at Hanoi Medical University Hospital, Vietnam. The comparison of tumor budding and E-cadherin expression with lymph node status was investigated. Tumor budding was calculated on the microfield of 0.785 mm² according to the 2016 International Consensus Conference guidelines. The immunoexpression of the E-Cadherin protein was examined by immunohistochemistry. **Results**: The overall lymph node metastasis rate was 55.6%. In multivariable logistic regression analyses, tumor budding (odds ratio [OR] = 12.73, 95% confidence interval [CI] = 4.980-32.53, p < 0.001), immunoexpression of E-cadherin (OR = 0.048, 95% CI = 0.019-0.121, p < 0.001), stage (OR = 2.329, 95% CI = 1.204-4.504, p = 0.012), and grade (OR = 2.032, 95% CI = 1.081-3.820, p = 0.028) were critical factors that can independently predict lymph node status. **Conclusions**: Tumor budding and E-cadherin expression are independent factors and may be additional candidates in predicting node status in patients with primary gastric carcinoma. Key words: E-cadherin expression, gastric adenocarcinoma, predicting node metastasis, tumor

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INTRODUCTION

budding

Gastric adenocarcinoma accounts for about 90% of stomach carcinoma cases. Morbidity and mortality due to stomach cancer are much higher in Southeast Asian countries than in others worldwide¹. The depth of microscopic invasion is usually proportional to the rate of lymph node metastasis, with pT1 being 2.3%, pT2 at 21.9%, pT3 at 64.2%, and pT4 at 86.6%². Lymph node metastasis may be present in 3%-20% of early gastric cancer cases^{3,4}. According to GLOBOCAN data in 2020, gastric cancer ranks third in both new cases and deaths in Vietnam, with a male-to-female ratio of about 2.3:1⁵.

Numerous factors may be related to the prognosis of stomach cancer, including histological grade, histological type, tumor spread, the number of lymph nodes involved, and pT and pN stage. However, the most challenging task is identifying lymph node metastases because the nodes of the stomach are numerous and located deep in the abdomen, and non-invasive methods to detect lymph node metastases have limited accuracy^{6–12}. Due to the numerous

lymph nodes involved in stomach carcinoma being localized in unfavorable locations for biopsy, it is not possible to perform a biopsy of all these suspected metastatic nodes. Therefore, a more detailed study of the histopathological characteristics of the primary tumor to find clues that can predict lymph node metastasis is necessary. Several histological features of the primary tumor may be indicative of lymph node metastasis, including lymphatic invasion, Lauren subtype, tumor budding, and E-cadherin expression; however, these were not used in the assessment of lymph node status by previous works^{11,13}. Two of these, including tumor budding and E-cadherin expression, have been mentioned in this work in relation to lymph node metastasis.

By definition, tumor budding is a single cell or a small group of 2-4 tumor cells in the invasive area of the tumor¹¹. High-grade tumor budding is considered a poor prognostic factor for numerous cancers such as colorectal cancer, breast cancer, pancreatic cancer, and squamous cell carcinoma of the head and neck¹¹. Increased tumor budding and suppression of E-cadherin immunoexpression are indicative

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of epithelial-mesenchymal transformation (EMT), a critical process of cancer metastasis^{14,15}. The E-cadherin protein binds epithelial cells together and maintains their polarity. In carcinoma, cancer cells easily separate from the original tumor (the first step in metastasis) because their reduced E-cadherin expression causes them to lose their polarity. Cancer cells' EMT enables them to migrate and metastasize more easily to distant sites. The objective of this study was to evaluate the ability to predict nodal status using tumor budding and E-cadherin immunoexpression of primary gastric adenocarcinoma on surgical specimens as a pilot study before application to gastric cancer biopsy samples.

METHODS

Data Collection and Research Parameters

The retrospective study included 311 patients with primary gastric cancer undergoing surgery for the first time, all of whom had lymph node dissection at Hanoi Medical University Hospital, Vietnam, between October 2019 and June 2021. Patients with recurrent or secondary gastric cancer were not included in this study. The patients in this study were all from the Northern region of Vietnam. Retrospective data on imaging studies, such as scintigraphy or histopathological evaluation of surgical tumor specimens with or without accompanying metastatic specimens, were used to evaluate distant metastases. This study received permission from the Ethics Committee of Hanoi Medical University (decision number: 4397/QD-DHYHN), complies with the ethical standards set forth in the Declaration of Helsinki, and was revised by the World Medical Association's General Assembly in Seoul, South Korea, in October 2008. Data on patient age, gender, lymph node status, dis-

Data on patient age, gender, lymph node status, distant metastasis, histological type, and primary tumor location were obtained from digitized archival records. H&E-stained slides and corresponding tissue samples enclosed in paraffin blocks were also obtained to study E-Cadherin expression. Histological gastric carcinoma variants were identified based on the 2019 World Health Organization (WHO) histological classification of gastrointestinal cancer¹. The tumors' pT and pN classifications were determined using the eighth edition of the American Joint Committee on Cancer classification system¹.

Tumor budding was evaluated using the International Tumor Budding Consensus Conference (IT-BCC) 2016 protocol¹¹. Tumor budding was counted in the "hotspot" field (tumor tissue areas with the most tumor buds) at $20 \times$ magnification (corresponding to an evaluation area of 0.785mm²). The "hotspot" areas are usually at the edge of the tumor. Ten microscopic fields at invasive areas were scanned at $10 \times$ magnification to identify "hotspot" areas. Two pathologists counted the tumor buds in the "hotspot" areas at $20 \times$ magnification. The number of tumor buds was calculated by counting in the "hotspot" field and then dividing by the conversion factor to determine the number of tumor buds/0.785 mm². Tumor budding was graded as low (0–4 tumor buds/0.785 mm²), moderate (5–9 tumor buds/0.785 mm²), and high (\geq 10 tumor buds/0.785 mm²). Differences in tumor budding grades between the two pathologists were discussed and re-evaluated.

Immunohistochemistry

After the steps of routine microscopic techniques, immunostaining was performed using a Ventana Benchmark XT automatic stainer and an anti-E-cadherin (mouse monoclonal primary antibody) antibody kit (cat. no.: 760-500/05269806001; diagnostics Roche, USA). Two pathologists, blind to the cases' clinical data, semi-quantitatively analyzed the E-cadherin staining results for gastric adenocarcinoma tissue samples from 311 cases. The cellular membrane is the main location of E-cadherin immunoexpression, and sometimes this expression extends into the cytoplasm. In each tumor, the rate of immunostained cells was determined by counting 500 tumor cells. Scoring of E-cadherin expression is based on immunostaining intensity (0=negative; 1=low; 2=moderate; 3=strong) and the percentage of immunoreactive cells (0=none; 1=1%-9%; 2=10%-49%; 3=50%-79%; 4=80%-100%)and grading: 0=negative score; 1+ =score of 1-4; 2+ = score of 5-8; 3+ = score of 9-12¹⁶.

Analyzed Parameters and Relationships

E-cadherin expression and tumor budding were analyzed relative to several clinical pathological parameters: histopathological type (WHO and Lauren's classification), grade, lymph node metastasis, stage pT, age, and sex. E-cadherin expression was classified as low (0-1+) and high (2+-3+). Tumor budding was classified as low grade (0-4 tumor buds/0.785mm²) and high grade (>5 tumor buds/0.785mm²). In Ecadherin expression, the original group was named "EcadhGOC", consisting of 4 levels: 0, 1+, 2+, and 3+; we regrouped them into low (0-1+) and high (2+-3+)titled "phanlopE1". Similarly, in tumor budding, the original group was named "Budding", consisting of 3 levels: low (0-1+), medium (2+), and high (3+); we regrouped them into low (0-1+) and high (2+-3+) titled "phanlopB2". For the purpose of comparing the predictability of lymph node status of the respective groups, the receiver-operating characteristic (ROC) curve was used in the analysis.

Statistical Analysis

SPSS 20 for Windows software (SPSS, Inc., Chicago, IL, USA) was used to analyze the data. The χ^2 test was used to compare proportions, and Fisher's exact test was used for cases with expected frequencies < 5. The associations of various variables with a binary dependent variable were determined using multivariable logistic regression analysis. Statistical significance was determined when the difference was p < 0.05. The receiver-operating characteristic curve (ROC) and the area under the curve (AUC) were used to investigate the ability of two parameters to predict lymph node metastasis, including tumor budding and E-cadherin expression. Good predictability is indicated when the AUC is ≥ 0.8 .



Figure 1: Tumor budding in gastric adenocarcinoma. Staining H&E in original magnification 40x: high (**A**), medium (**B**), and low (**C**) grade.



Figure 2: Gastric adenocarcinoma. Staining E-Cadherin in original magnification 20x: Strong +++ (**A**), moderate ++ (**B**), and weak + (**C**) expression. Negative immunostaining for E-Cadherin was not shown here.

RESULTS

The average age of the 311 patients in this study was 62.99 ± 8.64 years (with an age range of 42–85 years), and the male/female ratio was 1.9/1. Most cases belonged to pT3 (69.8%), followed by pT2 (17.4%), pT4 (7.7%), and pT1 (5.1%). The overall node metastasis rate was 55.6%. Incidence of node metastasis was 18.7% for pT1, 25.9% for pT2, 47.0% for pT3, and 91.7% for pT4. The number of nodes dissected in each case ranged from 0 to 28. The patient's clinical-pathological manifestations are summarized in **Table 1**.

Univariate analysis (**Table 2**) found lymph node metastasis associated with many parameters: sex (p = 0.001), Lauren's histological type (p < 0.001), WHO histological type (p < 0.001), WHO histological grade (p < 0.001), pT stage (p < 0.001), tumor budding (p < 0.001), and E-cadherin expression (p < 0.001). However, it was not involved in age (p = 0.408). Among the 173 (55.6%) cases with node metastasis, tumor

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Clinicopathologic features		Total n = 311 (100%)
		n (%)
Ages		
	40-49	24 (7.7%)
	50-59	81 (26%)
	60-69	144 (46.3%)
	70-79	
	80-89	6 (1.9%)
Sex		
	Nam	203 (65.3%)
	Nữ	108 (34.7%)
Lauren's histological type		
	Intestinal	211 (67.8%)
	Mixed	52 (16.7%)
	Diffuse	48 (15.4%)
WHO histological type		
	Tubular	206 (66.2%)
	Poorly cohesive	50 (16.1%)
	Mucinous	3 (1%)
	Mixed	51 (16.4%)
	With lymphoid stroma	1 (0.3%)
Grade (WHO)		(- - ()
	High Moderate	142 (45.7%) 93 (29.9%)
	Poor	76 (24.4%)
Stage	1001	70 (24.470)
	Tla	3 (1%)
	T1b	13 (4.2%)
	T2	54 (17.4%)
	Т3	217 (69.8%)
	T4a	20 (6.4%)
	T4b	4 (1.3%)
Lymph nodes metastasis		
	Yes	173 (55.6%)
E Callearin and the	No	138 (44.4%)
E-Cadherin expression	Weak	136 (43.7%)
	Strong	175 (56.3%)
Tumor budding	~	
	Low	108 (34.7%)
	High	203 (65.3%)

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Table 2: Lymph node metastasis in relation to other parameters

Clinicopathologic parameters		Lymph nodes metastasis			
		No	Yes	р	
Ages					
	40-49	9	15	0.470	
	50-59	41	40		
	60-69	65	79		
	70-79	20	36		
	80-89	3	3		
Sex					
	Nam	81	122	0.030	
	Nữ	57	51		
Lauren's histological type					
	Intestinal	126	85	< 0.001	
	Mixed	9	43		
	Diffuse	3	45		
WHO histological type					
	Tubular	124	82	<0.001	
	Poorly cohesive	3	47		
	Mucinous	0	3		
	Mixed	10	41		
	With lymphoid	1	0		
Grade (WHO)	stroma				
Glade (WIIO)	High	100	42	<0.001	
	Moderate	35	58	\0.001	
	Poor	3	73		
Stage	1001	5	75		
	Tla	3	0	<0.001	
	T1b	10	3		
	T2	41	13		
	T3	83	134		
	T4a	1	191		
	T4b	0	4		
E-Cadherin expression					
•	Weak	10	126	<0.001	
	Strong	128	47		
Tumor budding					
	Low	96	12	<0.001	
	High	42	161		
	-				

Clinicopathologic parar	ameters Lymph nodes metastasis					
		No	Yes	OR	95%CI	р
Lauren's histological type						
	Intestinal	126	85	2.067	1.125- 3.799	0.019
	Mixed	9	43			
	Diffuse	3	45			
Stage						
	Tla	3	0	2.233	1.165- 4.281	0.016
	T1b	10	3			
	T2	41	13			
	Т3	83	134			
	T4a	1	19			
	T4b	0	4			
E-Cadherin expression						
	Weak	10	126	0.043	0.017- 0.108	<0.001
	Strong	128	47			
Tumor budding						
	Low	96	12	14.59	5.895- 36.09	<0.001
	High	42	161			

 Table 3: Lauren's histology group in relation to lymph node metastasis

OR: odds ratio, 95%CI: 95% confidence intervals

budding (**Figure 1**) was 3+ (high) in 79.3% (161/203) and 1+ (low) in 11.1% (12/108; p<0.001). Similarly, E-cadherin immunoexpression (**Figure 2**) was weak in 92.6% (126/136) and strong in 26.8% (47/175; p < 0.001).

Through multivariate logistic regression analysis, tumor budding (odds ratio [OR] = 14.59, 95% confidence interval [CI] = 5.89-36.09, p < 0.001), Ecadherin expression (OR = 0.043, 95% CI = 0.017– 0.108, p < 0.001), stage (OR = 2.233, 95% CI = 1.165– 4.281, p = 0.016), and Lauren type (OR = 2.067, 95% CI = 1.125–3.799, p = 0.019) were independent factors of lymph node metastasis in the Lauren histology group (**Table 3**).

In the WHO histological group, multivariate logistic regression analysis identified tumor budding (OR=12.73, 95% CI = 4.980-32.530, p < 0.001), E-cadherin expression (OR=0.048, 95% CI=0.019-0.121, p < 0.001), stage (OR = 2.329, 95% CI = 1.204-

4.504, p = 0.012), and grade (OR = 2.032, 95% CI = 1.081-3.820, p = 0.028) as independent factors of lymph node metastasis (**Table 4**).

In terms of tumor budding, the AUC=0.89 (95% CI: 0.85-0.93, p < 0.001) in the original group (budding) and AUC=0.81 (95% CI: 0.76-0.87, p < 0.001) in the regrouped tumor budding (phanlopB2) both revealed high prognostic ability for node metastasis (**Figure 3**). For E-cadherin immunoexpression, the AUC=0.87 (95% CI: 0.83-0.91, p<0.001) in the original group (EcadhGOC) and the AUC=0.83 (95% CI: 0.78-0.88, p<0.001) in regrouped E-cadherin expression (phanlopE1) both showed a high predictive ability of lymph node metastasis (**Figure 4**).

DISCUSSION

The rate of node metastasis in stomach cancer patients increased with pT stage in our study. Many other works have also found that the more advanced

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Table 4: WHO histological group in relation to lymph node metastasis

Clinicopathologic parameters	Lymph nodes metastasis					
		No	Yes	OR	95%CI	р
WHO histological type						
	Tubular	124	82	1.028	0.722- 1.463	0.879
	Poorly cohesive	3	47			
	Mucinous	0	3			
	Mixed	10	41			
	With lymphoid stroma	1	0			
Grade (WHO)						
	High	100	42	2.032	1.081- 3.820	0.028
	Moderate	35	58			
	Poor	3	73			
Stage						
	Tla	3	0	2.329	1.204- 4.504	0.012
	T1b	10	3			
	T2	41	13			
	Т3	83	134			
	T4a	1	19			
	T4b	0	4			
E-Cadherin expression						
	Weak	10	126	0.048	0.019- 0.121	<0.001
	Strong	128	47			
Tumor budding						
	Low	96	12	12.73	4.980- 32.53	< 0.001
	High	42	161			

OR: odds ratio, 95%CI: 95% confidence intervals

the gastric cancer, the deeper the invasion and the higher the rate of node metastasis $^{17-19}$. The association of node metastasis with tumor budding and E-cadherin immunoexpression was confirmed by univariate analysis (**Table 2**). EMT of tumor cells may be the cause of these phenomena. EMT has been involved in the metastasis of stomach cancer and many other carcinomas²⁰. This transformation has been described to downregulate E-cadherin expression (an

immunomarker for epithelial cells) and upregulate vimentin expression (the mesenchymal marker)²¹, and be associated with increased tumor budding²². EMT may have a significant effect on the mechanism of tumor invasion, which has been suggested in some studies^{14,15}. Bronsert *et al.* have noticed that cells of tumor budding in various cancers (colorectal, pancreatic ductal carcinoma, lung adenocarcinoma, and invasive ductal breast carcinoma) had lost their po-



Figure 3: The ROC curve of tumor budding in predicting lymph node metastasis. AUC = 0.89 (95% CI: 0.85-0.93, p < 0.001) in "budding" group and AUC = 0.81 (95% CI: 0.76- 0.87, p < 0.001) in "phanlopB2" group. "Budding": original group of tumor budding. "phanlopB2": original budding group was reclassified.



Figure 4: The ROC curve of E-cadherin expression in predicting lymph node metastasis. AUC = 0.87 (95% Cl: 0.83-0.91, p < 0.001) in EcadhGOC and AUC = 0.83 (95%Cl: 0.78-0.88, p < 0.001) in "phanlopE1" group. "EcadhGOC": original group of E-cadherin. "phanlopE1": original group of E-cadherin was reclassified.

larity (more round and spindle shape), decreased Ecadherin staining intensity, decreased membrane Ecadherin staining, and increased nuclear zinc finger E-box binding homeobox 1 (ZEB1) staining²³.

Human E-cadherin, a transmembrane glycoprotein mainly involved in epithelial cell adhesion, is encoded in the cadherin 1 (CDH1) gene of chromosome 16q22.1²⁴. E-cadherin binds to cytoskeleton actin to maintain cell structure stability, inhibits individual cell migration, and is involved in cell signaling^{25,26}. Increased abilities such as cell-cell interactions, migration, invasion, and metastasis of tumor cells are significantly influenced by aberrant E-cadherin immunoexpression^{27,28}.

The intensive (normal) expression rate of E-cadherin in cell membranes and cytoplasm was higher than the weak (abnormal) expression rate. Multivariate regression analysis demonstrated that E-cadherin immunoexpression is an independent factor associated with tumor grade (OR = 0.23, 95% CI = 0.12-0.43, p < 0.001), tumor budding (OR = 10.61, 95% CI = 2.73-41.16, p = 0.001), and lymph node metastasis (OR = 0.04, 95% CI = 0.012-0.134, p < 0.001). Several other studies have found abnormalities of E-cadherin immunoexpression in 25%²⁹ or 46.7% - 48.6%^{30,31} of cases and are closely related to lymph node metastasis. Several meta-analyses have suggested a significant association between E-cadherin expression and several variables, including invasion (p < 0.001), node metastasis (p < 0.001), and distant spread (p < 0.001), resulting in low five-year survival $(p < 0.001)^{32-35}$. Numerous studies have discovered E-cadherin as a crucial tumor suppressor in several cancers, including gastric carcinoma^{35,36}. One study found that 33% - 50% of incident gastric cancers had somatic E-cadherin inactivating mutations, and 63.6% of patients with signet ring cell carcinoma had decreased E-cadherin immunoexpression 37. Expression dysfunction of E-cadherin is caused by multiple molecular mechanisms, for instance CDH1 mutations³⁸, DNA hypermethylation³², and non-coding microR-NAs. Reducing immunoexpression of E-cadherin at the cell membrane causes an attenuation or complete loss of cell-cell interactions and leads to the inhibition of the activation of transcription factors snail homolog 1 (SNAIL), twist family bHLH transcription factor 1 (TWIST), and ZEB1, leading to EMT^{33,39}. These reasons have caused differences in E-cadherin expression in different studies. CDH1 gene mutations correlate with many factors (geography, race, and especially eating habits) that are known to influence cancer risk in general.

Tumor budding should be distinguished from poorly differentiated cell clusters. A cell cluster with ≥ 5 cancer cells invading the stromal tissue and not forming glands is called poorly differentiated clusters⁴⁰. The tumor budding concept has been mentioned for a long time, but only recently has it garnered interest in further research. It has been discovered that tumor budding has the potential to increase node metastasis, distant spread, tumor stage, and survival prognosis in numerous cancers, including colon cancer⁴¹, esophageal squamous carcinoma⁴², breast cancer⁴³, and lung cancer⁴⁴. ITBCC confirmed that tumor budding can predict the prognosis of node status in stage pT1 colorectal cancer and the survival ability of patients with stage II colorectal cancer¹¹.

At the molecular level, the association between tumor budding and E-cadherin immunoexpression has been interpreted as tumor budding correlates with the high CpG island methylator phenotype (CIMP-H), which occurs in 40% of cancer cases. Microsatellite instability and CIMP-H cases often present with similar clinical and molecular features: tumor location, poor differentiation, and B-Raf proto-oncogene, serine/threonine kinase (BRAF) mutations⁴⁵. Ecadherin loss in gastrointestinal carcinoma may be related to methylation of the O6-methylguanine-DNA methyltransferase (MGMT) promoter, which is part of the EMT pathway that induces Wnt pathway activation and nuclear beta-catenin translocation, thereby affecting E-cadherin expression⁴¹.

CONCLUSION

Predicting node status in stomach cancer is very useful because it affects the selection of appropriate surgical methods and treatment combinations. This study found that tumor budding and E-cadherin immunoexpression are independent factors and may be strong candidates for predicting node metastasis of primary stomach cancer based on surgical specimens. According to the conventional concepts, small biopsy samples often do not have enough necessary information such as pT stage, vascular, and nerve invasion, so predicting lymph node status using traditional methods is impossible. Therefore, this study can be considered a pilot work to predict lymph node metastasis before applying it to small biopsy samples of gastric cancer.

ABBREVIATIONS

AUC: Area Under the Curve, **BRAF**: B-Raf Proto-Oncogene, Serine/Threonine Kinase, **CDH1**: Cadherin 1, **CI**: Confidence Interval, **CIMP**-**H**: CpG Island Methylator Phenotype - High, EMT: Epithelial-Mesenchymal Transformation, GLOBOCAN: Global Cancer Observatory, H&E: Hematoxylin and Eosin, ITBCC: International Tumor Budding Consensus Conference, MGMT: O6-Methylguanine-DNA Methyltransferase, OR: Odds Ratio, ROC: Receiver-Operating Characteristic, SNAIL: Snail Homolog 1, SPSS: Statistical Package for the Social Sciences, TWIST: Twist Family bHLH Transcription Factor 1, WHO: World Health Organization, ZEB1: Zinc Finger E-Box Binding Homeobox 1

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AUTHOR'S CONTRIBUTIONS

NVH, NTT: Conceptualization, Methodology, Writing-Original draft preparation; NTT, TVC: Visualization, Methodology, Software; TVC, NVH, DTL: Data curation, Writing-Original draft preparation; DTL, NTT, TVC: Validation, investigation, Supervision. All authors read and approved the final manuscript.

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AVAILABILITY OF DATA AND MATERIALS

Data and materials used and/or analyzed during the current study are available from the corresponding author on reasonable request.

ETHICS APPROVAL AND CONSENT TO PARTICIPATE

This study received permission from the Ethics Committee of Hanoi Medical University (decision number: 4397/QD-DHYHN), complies with the ethical standards set forth in the Declaration of Helsinki, and was revised by the World Medical Association's General Assembly in Seoul, South Korea, in October 2008.

CONSENT FOR PUBLICATION

Not applicable.

COMPETING INTERESTS

The authors declare that they have no competing interests.

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