# Revealing the Role of Tumor Budding in Predicting Survival in Colorectal Adenocarcinoma

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# **ABSTRACT**

**Introduction**: Several studies have shown that classical histopathological features (e.g., type, grade, pT stage) are not consistently reliable predictors of survival in colorectal cancer (CRC). Therefore, the present study aimed to assess the value of tumor budding on tissue sections in predicting survival outcomes in patients with CRC. Methods: Tissue samples were obtained from 107 patients diagnosed with stage I, II, or III CRC at Hanoi Medical University Hospital between 2017 and 2018, who had not undergone preoperative chemoradiotherapy. Using routine hematoxylin and eosin (H&E)-stained sections, tumor budding (Bd) was evaluated following the guidelines established by the International Tumor Budding Consensus Conference (2016). The Kaplan-Meier method was used to estimate overall and disease-free survival, and a Cox proportional hazards model was employed to identify factors independently associated with both outcomes. **Results**: Tumor budding grades were identified in 53.3%, 28.0%, and 18.7% of cases for Bd1, Bd2, and Bd3, respectively. The median follow-up duration was 70 months. Overall and disease-free survival decreased with higher Bd levels, with corresponding rates of 98.2%, 80.0%, and 45.0% (p < 0.001) and 94.7%, 80.0%, and 30.0% (p < 0.001) for Bd1, Bd2, and Bd3, respectively. Moreover, Bd correlated significantly with survival, T stage, N stage, TNM stage, lymphovascular invasion, and neural invasion (p < 0.05). **Conclusions**: Tumor budding showed a strong association with survival outcomes, lymph node metastasis, and TNM stage. Therefore, it may serve as an independent predictor of survival in patients at stage I, II, or III CRC.

**Key words:** tumor budding, colorectal carcinoma, survival prediction

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# INTRODUCTION

Colorectal cancer (CRC) is the most common gastrointestinal malignancy. According to GLOBO-CAN 2020, CRC accounts for 10.01% of all new cancer cases worldwide, ranking third behind lung and breast cancers, and contributing to 9.39% of cancerrelated deaths—second only to lung cancer. In Vietnam, CRC is the fourth most common cancer, after breast, liver, and lung cancers, with 16,426 reported cases <sup>1</sup>. Several studies have emphasized the complexity of predicting survival outcomes in CRC and suggested that classical histopathological features, including histological type, histological grade, and pT stage, are neither consistent nor reliable predictors of survival, particularly for signet ring cell carcinoma and mixed adenocarcinoma subtypes.

To minimize potential confounding and remain widely applicable in developing countries, optimal survival predictors should be technically simple, reproducible, and feasible in resource-limited settings. Therefore, we evaluated the prognostic utility of tumor budding (Bd), introduced by the International

Tumor Budding Consensus Conference (ITBCC) in 2016. Several studies have shown that Bd can predict lymph node metastasis, disease stage, and survival in patients with gastric <sup>2,3</sup>, breast <sup>4</sup>, and colon cancer <sup>5</sup>. Our study was conducted to further highlight the value of Bd in predicting survival, lymph node metastasis, and TNM stage in patients with CRC.

### METHODS

# Patients and tumor samples

In this study, we retrospectively examined hematoxylin and eosin (H&E)-stained sections from 107 patients with primary CRC who underwent tumor resection and regional lymphadenectomy at the Department of Pathology of Hanoi Medical University Hospital between January 2017 and December 2018. After surgery, 88 patients subsequently received adjuvant chemotherapy with the FOLFOX-6 regimen. The remaining 19 patients did not receive postoperative chemotherapy because they either presented with early-stage cancer or declined adjuvant treatment. Patients' clinical and surgical

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data were extracted from digital records, including age, sex, tumor location, time of diagnosis, number of lymph nodes dissected, and metastatic location (if any). All personal information was coded to ensure anonymity. This study was approved by the Ethics Review Board of Hanoi Medical University (IRB-VN 922) and conducted in accordance with the ethical principles of the 1975 Declaration of Helsinki. The requirement for informed consent was waived due to the retrospective nature of the study, patient anonymity, and the absence of any additional interventions.

# Histological examination

H&E-stained sections were independently reviewed by two pathologists (D.T.L. and N.V.C.) for variables such as tumor size, histologic type, tumor differentiation, invasion, lymphatic invasion, nerve invasion, lymph node status, and Bd. In cases of discrepancy in the histologic results, a third pathologist (N.V.H.) was consulted. A final diagnosis was determined by consensus among all pathologists. All histologic evaluations were performed without prior knowledge of patients' clinical outcomes. The concordance rate of the three-tier classification between the two pathologists was 99.6%, with a Cohen's kappa coefficient of 0.993 (P < 0.001), indicating excellent agreement.

Histological type and grade were assigned based on the 2019 World Health Organization histological classification of gastrointestinal tumors <sup>6</sup> and the 2017 (eighth) edition of the American Joint Committee on Cancer (AJCC) staging system, which applies only to conventional adenocarcinomas <sup>6,7</sup>. The extent of invasion and regional lymph node metastasis were classified according to the eighth edition of the AJCC staging system <sup>7</sup>.

The procedure for assessing Bd status was performed in five steps as proposed by the 2016 ITBCC <sup>5</sup>: 1) determine the field area of the 20x microscope objective based on the eyepiece field number diameter; 2) select the H&E-stained section with the highest budding level or "hot spot" in the invasive margin; 3) scan 10 individual fields under medium magnification (10x objective) to identify the chosen "hot spot" area; 4) count the tumor buds in the selected "hot spot" (20x objective); 5) divide the number of buds by the normalization factor to determine the number of tumor buds per 0.785 mm². Bd was classified into three levels according to ITBCC <sup>5</sup> recommendations: low-grade (Bd1): 0–4; intermediate-grade (Bd2): 5–9 buds; high-grade (Bd3): 10 or more buds.

# Follow-up and outcomes

Overall survival (OS) was defined as the length of time from the start of treatment or diagnosis until death from any cause. Disease-free survival (DFS) was calculated from the time of tumor resection until the time of first recurrence or death from any cause. Recurrence status was determined using imaging and/or histopathology records. Patients who were still alive at the end of the study period were considered censored observations. Patients with incomplete clinical data or those lost to follow-up were excluded from the analysis to ensure data integrity. The follow-up ended on August 31, 2024.

# Statistical analysis

Data analyses were conducted using SPSS version 20.0. Pearson's chi-squared test, Fisher's exact test, Cramer's V-test, and Phi were used to compare clinical and histopathological differences among Bd groups. The Kaplan-Meier method was used to calculate both OS and DFS, with survival curves compared using the log-rank test. In the multivariate analysis, the Cox proportional hazards model was applied to identify factors independently associated with OS and DFS. P-values  $\leq$  0.05 were considered statistically significant.

# **RESULTS**

# Clinicopathological features and Bd

This study included tissue samples from 107 CRC patients who underwent surgical resection. The mean age of the patients was  $63.3 \pm 12.7$  years (range: 32– 88 years), although most patients were aged 60-69 years (36.4%) or over 70 years (30.8%). Males accounted for a higher proportion of patients than females (55.1% vs. 44.9%, respectively). The most common anatomical site was the rectum (30.8%). Most cases were adenocarcinoma not otherwise specified (83.2%), moderately differentiated (86.0%), and in the pT3 stage (55.1%). The overall rate of lymph node metastasis was 42.1%; metastasis to 1-3 lymph nodes was most frequent (31.8%). Stage I, II, and III tumors accounted for 5.6%, 52.3%, and 42.1% of cases, respectively. The rates of lymphatic and perineural invasion were 39.3% and 36.4%, respectively. Bd1, Bd2, and Bd3 rates were 53.5%, 28.0%, and 18.7%, respectively (Table 1). High-grade Bd (Figure 1) was more prevalent in patients with deep invasion, lymphatic invasion, perineural invasion, and lymph node metastasis (p < 0.05). Bd3 also accounted for 28.9% of stage III cases and 12.5% of stage II cases. Furthermore, Bd was associated with TNM stage (p = 0.01) (Table 2).

Table 1: Patient clinicopathological characteristics

Clinicopathological characteri	stics	Number of patients	Percentage (%)	
Age group	<40	4	3.7	
	40-49	15	14.0	
	50-59	16	15.0	
	60-69	39	36.4	
	>=70	33	30.8	
Sex	Male	59	55.1	
	Female	48	44.9	
Location	Rectum	33	30.8	
	Sigmoid colon	26	24.3	
	Left colon	9	8.4	
	Transverse colon	8	7.5	
	Right colon	24	22.4	
	Cecum	7	6.5	
Tumor differentiation	Well	5	4.7	
	Moderately	92	86	
	Poorly	10	9.3	
Histopathological type	Adenocarcinoma	89	83.2	
	Mucinous adenocarcinoma	16	15.0	
	Others	2	1.9	
T stage	1	5	4.7	
	2	14	13.1	
	3	59	55.1	
	4	29	27.1	
N stage	N0	62	57.9	
	N1	34	31.8	
	N2a	7	6.5	
	N2b	4	3.7	
TNM stage	I	6	5.6	
	II	56	52.3	
	III	45	42.1	
Lymphovascular invasion	No	65	60.7	
	Yes	42	39.3	
Perineural invasion	No	68	63.6	
	Yes	39	36.4	
Tumor budding	Low-grade	57	53.3	
	Intermediate-grade	30	28.0	
	High-grade	20	18.7	

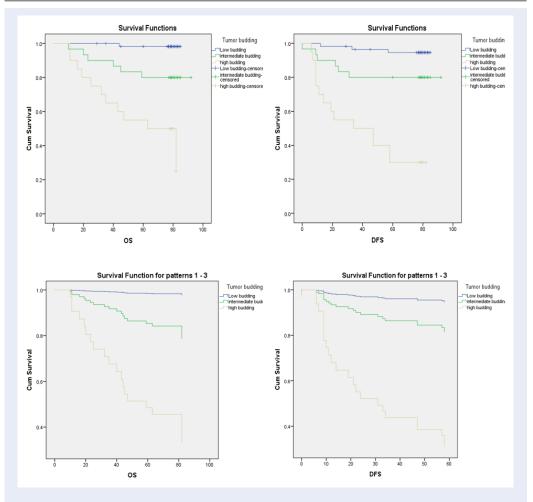


Figure 1: Overall survival and disease-free survival rates for different tumor budding grades in colorectal cancer. The log-rank test demonstrated significant differences among these three survival curves, in both univariate and multivariate analysis (p < 0.001)

# Association of Bd with other outcomes

The mean follow-up period was 70.81 months (maximum: 92 months). At the end of the follow-up period, 85 patients (79.4%) were alive, 23 had relapsed, and 18 had died. Patients with deep invasion, lymph node metastasis, advanced TNM stage, and lymphatic invasion had a significantly higher recurrence rate (p < 0.05) and higher mortality (p < 0.05) than the corresponding reference group. Furthermore, survival was significantly inversely associated with Bd level, with OS rates of 98.2%, 80.0%, and 45.0% (p < 0.001) and DFS rates of 94.7%, 80.0%, and 30.0% (p < 0.001) for Bd1, Bd2, and Bd3, respectively (**Table 3**).

OS and DFS were significantly different among Bd groups. Specifically, the mean OS was 84.255  $\pm$  0.739 months in the low-grade Bd1 group and 56.1  $\pm$  6.645

months in the high-grade Bd3 group. Similarly, the DFS in the low-grade Bd1 group was significantly longer than that in the high-grade Bd3 group (82.271  $\pm$  1.625 months vs. 42.05  $\pm$  6.843 months) (**Table 4**). In the multivariate analysis, patients in the Bd3 group had higher risks of recurrence and death than patients in the combined Bd1 and Bd2 group (p < 0.001), with hazard ratios (HRs) for death and recurrence of 45.952 and 20.652, respectively (**Table 5**). Significant associations of OS and DFS with Bd were observed in both univariate and multivariate analyses (p < 0.001) (**Figure 2**).

In patients with stage II CRC, DFS in the Bd3 group (57.1%) was shorter than in the Bd2 (85.7%) and Bd1 (100%) groups (p=0.001). The median DFS was 80.971 months in the low-grade Bd1 group, 81.786 months in the intermediate-grade Bd2 group, and 50.429 months in the high-grade Bd3 group (p < 1.00%)

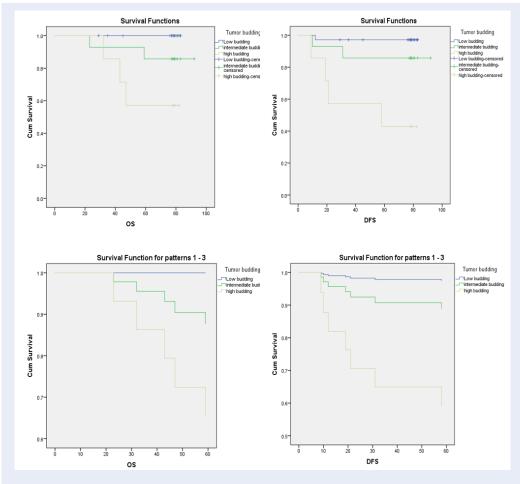


Figure 2: Overall survival and disease-free survival rates of tumor budding grades for stage II colorectal cancer.

0.001). In the multivariate analysis, Bd3 was an independent prognostic factor for an increased risk of recurrence (HR = 19.788, p = 0.013). In these patients, survival was significantly different among Bd groups (**Figure 3**).

# **DISCUSSION**

CRC is the most prevalent malignancy of the gastrointestinal tract and has a high mortality rate. As reported by GLOBOCAN 2020, the number of new CRC cases worldwide is predicted to reach 3.2 million by 2040, based on aging, population growth, and human development projections <sup>1,8,9</sup>. In Vietnam, CRC had the fifth highest incidence rate, with 16,426 cases reported, following liver, lung, stomach, and breast cancers <sup>1</sup>.

Predicting survival outcomes in patients with colorectal adenocarcinoma remains a significant challenge, as traditional histopathological factors such as histologic type, grade, and pT stage do not always provide reliable prognostic value. In particular, histological subtypes such as signet-ring cell carcinoma and mixed adenocarcinoma are often associated with worse prognoses, making histopathology-based assessments less accurate. An increasing number of studies have confirmed that gene expression profiles and molecular characteristics offer higher prognostic value than classical histopathological factors. However, the application of molecular biology tests still faces numerous barriers, especially in developing countries such as Vietnam, due to complex technical requirements, high costs, and limited implementation in pathology laboratories. In contrast, Bd assessment using H&E-stained slides does not incur additional costs and can be easily implemented in pathology laboratories. Moreover, a standardized Bd assessment protocol based on the 2016 ITBCC recommendations has been developed, ensuring consistency and high reliability. Bd can also be evaluated

Table 2: Correlation between tumor budding and some histopathological characteristics

Histopathological characteristics			Tumor budding		
		Low-grade	Intermediate- grade	High-grade	p-value
pT stage	pT1	4 (80.0)	0	1 (20.0)	0.021
	pT 2	11 (78.6)	0	3 (21.4)	
	pT 3	32 (54.2)	18 (30.5)	9 (15.3)	
	pT 4	10 (34.5)	12 (41.4)	7 (24.1)	
Tumor	Well	5 (100)	0	0	0.301
differentiation	Moderately	48 (52.2)	27 (20.3)	17 (18.5)	
	Poorly	4 (40.0)	3 (30.0)	3 (30.0)	
Lymphovascular invasion	No	44 (67.7)	14 (21.5)	7 (10.8)	0.001
	Yes	13 (31.0)	16 (38.1)	13 (31.0)	
Perineural invasion	No	48 (70.6)	13 (19.1)	7 (10.3)	< 0.001
	Yes	9 (23.1)	17 (43.6)	13 (33.3)	
N stage	N0	41 (66.1)	14 (22.6)	7 (11.3)	
	N1	14 (41.2)	10 (29.4)	10 (29.4)	0.009
	N2a	2 (28.6)	3 (42.9)	2 (28.6)	
	N2b	0	3 (75.0)	1 (25.0)	
TNM stage	I	6 (100)	0	0	
	II	35 (62.5)	14 (25.0)	7 (12.5)	0.01
	III	16 (35.6)	16 (35.6)	13 (28.9)	

consistently by different pathologists, a crucial factor that supports its use as a valuable prognostic indicator.

Bd is defined as the presence of single tumor cells or small clusters of tumor cells (< 5 cells) scattered throughout the tumor stroma. Bd is primarily assessed at the invasive tumor front; however, it has also been described within the tumor center. Lugli *et al.* reported that intratumoral budding is strongly associated with peritumoral budding and is an independent prognostic factor<sup>5</sup>. Bd is linked to the epithelial-mesenchymal transition (EMT), a process involving the loss of cell adhesion, cytoskeletal alterations, and increased extracellular matrix production <sup>5,10</sup>.

When evaluating the relationship between Bd and histopathological tumor characteristics, we found that high-grade Bd was more prevalent in patients with deeper invasion, lymphovascular invasion, perineural invasion, and lymph node metastases (p < 0.05). Similarly, Dawson et al. reported that highgrade Bd was associated with tumor differentiation. invasion, lymph node metastasis, as well as lymphovascular and perineural invasion (p < 0.001) 11. Shah et al. also identified Bd as a significant risk factor for lymph node metastasis, suggesting that it could help predict the extent of lymph node dissection. Specifically, high-grade Bd was observed in 79% of N1stage cases and 95% of N2-stage cases 12. Additionally, Brototo et al. demonstrated that Bd in preoperative biopsies predicts lymph node metastasis in colon carcinoma 13. These findings suggest that the presence of Bd may indicate tumor invasion and spread. Therefore, assessing Bd on H&E-stained slides is essential for guiding treatment decisions and estimating patient prognosis.

A deeper analysis of OS and DFS across different Bd groups revealed that patients with high-grade Bd had significantly lower OS and DFS than those with low-grade Bd. In multivariate analysis, Bd was identified as an independent prognos-

Table 3: Correlation between outcome with some clinicopathological characteristics

Clinicopathological characteristics		Outcome		p- value	Recu	Recurrence	
		Censored	Event		No	Yes	
Sex	Male	47 (79.7)	12 (20.3)	0.312#	43 (72.9)	16 (27.1)	0.157
	Female	42 (87.5)	6 (12.5)		41 (85.4)	7 (14.6)	
Age	30-39	4 (100)	0	0.125*	4 (100)	0	0.042
group	40-49	13 (86.7)	2 (13.3)		12 (80.0)	3 (20.0)	
	50-59	13 (86.7)	3 (18.8)		13 (81.2)	3 (18.8)	
	60-69	36 (92.3)	3 (7.7)		35 (89.7)	4 (10.3)	
	≥70	23 (69.7)	10 (30.3)		20 (60.6)	13 (39.4)	
Locatio	n Rectum	28 (84.8)	5 (15.2)	0.938*	26 (78.8)	7 (21.2)	0.647
	Sigmoid colon	22 (84.6)	4 (15.4)		21 (80.8)	5 (19.2)	
	Left colon	7 (77.8)	2 (22.2)		6 (66.7)	3 (33.3)	
	Transverse colon	7 (87.5)	1 (12.5)		7 (87.5)	1 (12.5)	
	Right colon	20 (83.3)	4 (16.7)		20 (83.3)	4 (16.7)	
	Cecum	5 (71.4)	2 (28.6)		4 (57.1)	3 (42.9)	
T stage	1	4 (80.0)	1 (20.0)	0.038*	4 (80.0)	1 (20.0)	0.203
	2	11 (78.6)	3 (21.4)		11 (78.6)	3 (21.4)	
	3	54 (91.5)	5 (8.5)		50 (84.7)	9 (15.3)	
	4	20 (69.0)	9 (31.0)		19 (65.5)	10 (34.5)	
Tumor	Well	5 (100)	0	0.493*	5 (100)	0	0.51
different	iation Moderately	77 (83.7)	15 (16.3)		72 (78.3)	20 (21.7)	
	Poorly	7 (70.0)	3 (30.0)		7 (70.0)	3 (30.0)	
Histopa		75 (84.3)	14 (15.7)	0.33*	70 (78.7)	19 (21.3)	0.499
type	Mucinous adenocar- cinoma	13 (81.2)	3 (18.8)		13 (81.2)	3 (18.8)	
	Others	1 (50.0)	1 (50.0)		1 (50.0)	1 (50.0)	
	ovası No	58 (89.2)	7 (10.8)	0.062#	56 (86.2)	9 (13.8)	0.02
invasio	n Yes	31 (73.8)	11 (26.2)		28 (66.7)	14 (33.3)	
Perineu	110	60 (88.2)	8 (11.8)	0.105#	58 (85.3)	10 (14.7)	0.03
invasio	n Yes	29 (74.4)	10 (25.6)		26 (66.7)	13 (33.3)	
stage	N0	56 (90.3)	6 (9.7)	0.039*	54 (87.1)	8 (12.9)	0.02
	N1	25 (73.5)	9 (26.5)		22 (64.7)	12 (35.3)	
	N2a	6 (85.7)	1 (14.3)		6 (85.7)	1 (14.3)	
	N2b	2 (50.0)	2 (50.0)		2 (50.0)	2 (50.0)	
TNM	I	5 (83.3)	1 (16.7)	0.049*	5 (83.3)	1 (16.7)	0.036
stage	II	51 (91.1)	5 (8.9)		49 (87.5)	7 (12.5)	
	III	33 (73.3)	12 (26.7)		30 (66.7)	15 (33.3)	
Tumor	Low-grade	56 (98.2)	1 (1.8)	<0.001#	54 (94.7)	3 (5.3)	< 0.00
budding	g Intermediate-grade	24 (80.0)	6 (20.0)		24 (80.0)	6 (20.0)	
	High-grade	9 (45.0)	11 (55.0)		6 (30.0)	14 (70.0)	755

<sup>\*</sup>Fisher's exact test

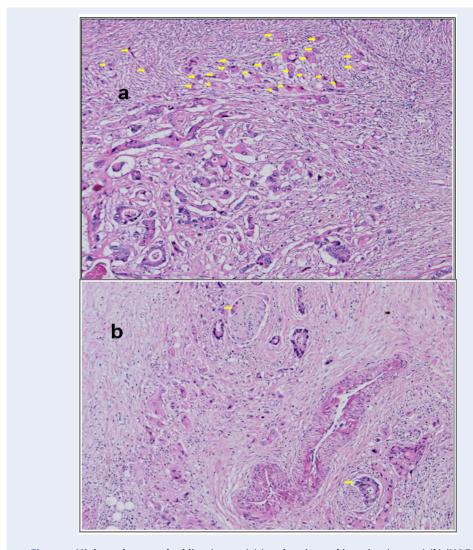


Figure 3: High-grade tumor budding (arrows) (a) and perineural invasion (arrows) (b) (H&E, x200).

Table 4: Overall and disease-free survival by tumor budding grade

Tumor budding	Cases of deaths due to CRC	Mean OS	p-value	Cases of recurrences	Mean DFS	p-value
Low-grade	1	84.255±0.739		3	82.271±1.625	
Intermediate- grade	6	80.167±4.530	<0.001	6	76.8±5.617	<0.001
High-grade	11	56.1±6.645		14	42.05±6.843	

Table 5: Multivariate Cox regression analysis of some characteristics according to OS and DFS

Characteristics	C	os	DFS		
	HR	<b>p</b> -value	HR	<b>p</b> -value	
High-grade vs. low- and intermediate-grade	45.952	<0.001	20.652	<0.001	
Tumor differentiation	1.67	0.433	1.293	0.682	
Lymphovascular invasion	1.039	0.98	1.081	0.951	
Perineural invasion	0.52	0.268	0.555	0.285	
T stage	1.274	0.504	1.294	0.432	
N stage	1.466	0.412	1.189	0.69	
TNM stage	1.021	0.989	1.361	0.808	

tic factor in CRC. High-grade Bd was also associated with higher risks of recurrence and mortality (p < 0.001), with HRs of mortality and recurrence of 45.952 and 20.652, respectively. Significant differences in OS and DFS among Bd groups were also demonstrated in both univariate and multivariate analyses (p < 0.001). Moreover, among stage II patients, high-grade Bd was associated with reduced DFS rates (57.1%, 85.7%, and 100% for high-, intermediate-, and low-grade Bd, respectively) (p = 0.001). Similarly, high-grade Bd correlated with a higher risk of recurrence, with a mean DFS time of 82.985 months for low-grade tumors, 70.8 months for intermediate-grade tumors, and 42.05 months for high-grade tumors (p < 0.001). Finally, multivariate analysis showed that high-grade Bd is an independent prognostic factor for increased recurrence risk (HR = 33.136, p < 0.01). These findings are consistent with those of previous studies. For example, Van Wyk et al. observed that high-grade Bd was strongly associated with disease stage, lymphovascular invasion, and decreased DFS, and was an independent prognostic indicator for patient survival irrespective of tumor stage 14. Additionally, Shah et al. observed that patients with high-grade Bd frequently experienced earlier recurrence and more extensive metastasis than other patients 12. Moreover, a 2016 meta-analysis conducted by Rogers et al., which included 34 studies with a total of 7,821 patients, demonstrated that Bd was a strong predictor of lymph node metastasis, recurrence, and mortality 15. In addition, Betge et al. demonstrated that Bd was an independent predictor of disease progression (HR: 3.91, p = 0.02) and cancer-related mortality (HR: 5.9, p = 0.007)<sup>16</sup>. Lee et al. also found a correlation between Bd and survival (p < 0.05), suggesting that stage II CRC patient survival could be

further stratified by Bd <sup>17</sup>. Finally, in a study of 200 stage II and 226 stage III CRC cases, Nakamura et al. showed that cumulative 5- and 10-year survival rates were significantly different between patients with low- and high-grade Bd (93.9% vs. 73.9% and 90.6% vs. 67.8%, respectively). Moreover, survival rates did not differ significantly between stage II patients with high-grade Bd and those with stage III disease. Cox regression analysis confirmed that Bd was an independent prognostic factor (HR = 4.89; p < 0.001) <sup>18</sup>.

Bd at the invasive front has been recognized by the Union for International Cancer Control as an unfavorable parameter and an "additional prognostic marker" 19. Moreover, high-grade Bd is consistently associated with lymph node metastasis, distant metastasis, local recurrence, and the extent of invasion beyond the muscularis mucosae. Furthermore, Bd has been proposed as a useful predictor of micrometastasis in patients with nodenegative CRC 20 and as a key consideration for local resection in patients with T1 tumors 21,22. Multiple groups have examined the independent impact of Bd on treatment outcomes and prognosis in CRC. Some studies have shown that Bd is associated with a higher T stage 5,14,15,23, lymph node metastasis 5,14,15,23,24, and adverse histological features independent of disease stage 5,14,20,23. Highgrade Bd also has an independent adverse impact on both OS and DFS 5,14-17,20,22,23. The adverse prognostic impact of high-grade Bd is observed in both early-stage and advanced CRC and can significantly influence clinical decision-making, especially in early-stage disease. Moreover, even among patients with stage I, stage II, or node-positive disease. Bd has been shown to improve patient risk stratification 16,18,25,26. Taken together, Bd is considered relevant in three scenarios: (1) determining the risk of lymph node metastasis in those with early-stage CRC, thereby predicting the need for lymph node dissection; (2) identifying high-risk stage II patients requiring adjuvant therapy; and (3) its presence in the pretreatment biopsy specimen is predictive of metastasis and non-response to neoadjuvant therapy.

While molecular biomarkers such as microsatellite instability (MSI), KRAS, and BRAF mutations have demonstrated significant prognostic value in CRC, their application in routine clinical settings remains limited in many low- and middle-income countries due to high cost and lack of adequate infrastructure. In contrast, Bd can be assessed using standard H&E staining and has been widely endorsed by international guidelines for its reproducibility and prognostic relevance.

Our decision to focus on Bd rather than molecular markers was driven by both practical and clinical considerations. First, the retrospective design and resource-limited setting precluded comprehensive molecular profiling. Second, Bd offers a feasible, cost-effective, and widely applicable approach to risk stratification, especially in stage II CRC, where treatment decisions remain challenging. Third, existing literature has shown that Bd is an independent predictor of adverse outcomes, even when molecular features are accounted for. Therefore, Bd may serve as a surrogate or complementary marker to molecular assays, particularly in settings where access to advanced molecular diagnostics is restricted.

Tumor budding can be readily integrated into routine clinical practice, particularly in resource-limited environments by adhering to standardized assessment protocols. Using only H&E-stained surgical specimens, pathologists can evaluate Bd without the need for additional equipment or specialized reagents. This allows for its inclusion in routine histopathology reports, thereby enhancing the prognostic utility of these reports. Notably, Bd assessment may offer critical insights for guiding therapeutic decisions, especially in stage II CRC patients, where the indication for adjuvant chemotherapy remains a subject of clinical uncertainty.

Our findings are in line with previous studies from resource-limited settings in developing countries, which consistently demonstrate that tumor budding serves as an independent prognostic factor <sup>27,28</sup>. These results further support its clinical utility in guiding treatment strategies. In developing countries, many cancer patients cannot afford expensive molecular tests. Since tumor budding analysis is based only on H&E-stained tissue sections, Bd

may be a promising candidate for risk stratification but has received little attention in Vietnam. To our knowledge, the current study is the first in Vietnam to apply the ITBCC 2016 Bd classification to predict survival outcomes of CRC patients.

The current study has certain limitations, such as the heterogeneity of the study sample in terms of both TNM stage and treatment regimen. However, the use of the Cox proportional hazards model and multivariate regression analysis to identify factors independently associated with OS and DFS may partially reduce potential biases. Potential confounders such as comorbidities, molecular markers (e.g., MSI status), treatment, and lifestyle factors were not controlled for. Future studies should aim to include these variables to better isolate the prognostic impact of tumor budding. Due to the retrospective and single-center design, selection bias cannot be excluded. Future prospective multicenter validation studies with larger sample sizes are necessary to confirm our findings.

## CONCLUSIONS

In the present study, Bd was closely associated with survival outcomes, lymph node metastasis, and TNM stage; therefore, it can serve as an independent predictor of survival in patients with CRC. These results provide further evidence supporting Bd's value as an additional histopathological feature in predicting prognosis in patients with CRC. Given its specific assessment criteria, technical simplicity, and ease of application, Bd has the potential to be widely applied to various types of cancer in developing countries.

# **ABBREVIATIONS**

AJCC: American Joint Committee on Cancer, Bd: Tumor budding, CRC: Colorectal cancer, (DFS) Disease-free survival, H&E: hematoxylin and eosin, ITBCC: International Tumor Budding Consensus Conference, OS: Overall survival.

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# **AUTHOR'S CONTRIBUTIONS**

DTL, NVH: Conceptualization, Methodology, Writing-Original draft preparation; NVC, DTY: Visualization, Methodology, Software; NTQ, TNN: Data curation, Writing-Original draft preparation; NKD, NVC: Validation, investigation, Supervision. All authors read and approved the final manuscript.

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# AVAILABILITY OF DATA AND MATERIALS

None.

# ETHICS APPROVAL AND CONSENT TO PARTICIPATE

This study was approved by the Ethics Review Board of Hanoi Medical University (IRB-VN 922) and conducted in accordance with the ethical principles of the 1975 Declaration of Helsinki. The requirement for informed consent was waived due to the retrospective nature of the study, patient anonymity, and the absence of any additional interventions.

### CONSENT FOR PUBLICATION

Not applicable.

# DECLARATION OF GENERATIVE AI AND AI-ASSISTED TECHNOLOGIES IN THE WRITING PROCESS

The authors declare that they have not used generative AI (a type of artificial intelligence technology that can produce various types of content including text, imagery, audio and synthetic data. Examples include ChatGPT, NovelAI, Jasper AI, Rytr AI, DALL-E, etc) and AI-assisted technologies in the writing process before submission.

# **COMPETING INTERESTS**

The authors declare that they have no competing interests.

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